

8 Source of Funds

State the source from which your income or wealth is mainly derived:-

- Salary Rental/Property Sale Dividends/Interest Maturing Investments
- Lottery/Betting Pension Sale of Shares Loan
- Inheritance Savings Gift Other (specify) _____

9 Gifts/Transfers to a Minor

AS CUSTODIAN FOR

Minor's Name: _____

Date of Birth:

D	D	M	M	Y	Y	Y	Y
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Postal Address: _____

Residential Address: _____

Postal Code:

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10 Fund Selections

Minimum Investment Amount: Kshs. 250,000 (Lump Sum: Kshs. 150,000 and Monthly: Kshs. 25,000 p.m.)
(Per Fund)

Total Investment Amount: Kshs. _____

Name of British-American Unit Trust	Investment Amount	%
British-American Money Market Fund		
British-American Income Fund		
British-American Balanced Fund		
British-American Equity Fund		
British-American Managed Retirement Fund		
Total		100%

11 Cash Withdrawal Facility

Fund Name	Nature of Withdrawal Interest / Other	Frequency (Monthly, Quarterly, Semi-annually, Annually)	Withdrawal Date

Please note that a minimum balance of Kshs. 250,000 per fund is required.

All payments will be sent to your bank account. (Complete Section 15 - Bank Information). Please note that the account must be in the same name(s) as the investor(s).

12 Payment Instructions

Direct Deposit / Electronic Transfer

Please attach a completed copy of the stamped bank deposit slip to the application form or fax it through with all the relevant documents to us on (+254 (20) 2722157). Bank account information for each unit trust fund is outlined in section 14.

Cheque

Please attach the cheque and ensure it is drawn in favour of the relevant unit trust fund. (Value will only be applied after clearance).

Payment via monthly / quarterly / half-yearly / annual direct debit

Please delete whichever is not applicable and attach a copy of the stamped direct debit/standing order form, from the bank.

Telegraphic Transfer

13 Automatic Investment Plan

Fund Name(s)	Amount (Kshs. 25,000 minimum per fund)	Frequency (Monthly, Quarterly, Semi-annually, Annually)	Investment Date

14 Bank Account Details

(International Payments: swift code - BARCKENXBIS)

Fund	Account Number	Bank	Branch	Clearing Code
British-American Money Market Fund	077-5000295	Barclays Bank of Kenya	Barclays Plaza	03
British-American Income Fund	077-5000732	Barclays Bank of Kenya	Barclays Plaza	03
British-American Balanced Fund	077-5001100	Barclays Bank of Kenya	Barclays Plaza	03
British-American Equity Fund	077-5001348	Barclays Bank of Kenya	Barclays Plaza	03
British-American Managed Retirement Fund	077-5000848	Barclays Bank of Kenya	Barclays Plaza	03
British-American Unit Trust	077-5002743	Barclays Bank of Kenya	Barclays Plaza	03

15 Bank Information

Bank Name: _____

Branch: _____

Bank Code: _____

Account Name: _____

Account Number: _____

Account Type: _____
(Current, Savings etc.)

16 General Terms and Conditions

1. Units are traded at the daily ruling prices and will be repurchased by British-American Asset Managers Limited in accordance with the Capital Markets (Collective Investment Schemes) Regulations, 2001 and on terms and conditions set out in the relevant Trust Deed.
2. Completed application forms and notification of deposits/cleared funds must be received for the investment to be executed. Subscriptions monies in cleared funds received will be dealt with on the next Dealing Day.
3. The investor and/or his/her financial advisor shall at all times be responsible for ensuring that the unit trust and its representatives receive any instructions from the investor and/or financial advisor, whether by facsimile or mail, and that such instructions are complete and correct in all respects.
- 4.. No third-party cheques are allowed.
5. Once an account has been opened, a statement of investment will be mailed to the investor on a monthly basis.

17 Declaration

1. The information provided in this application is true, correct and accurate and that, the money used for this investment does not arise out of the proceeds of any money laundering or other illicit activities.
2. I/We confirm that, where this information is signed in a representative capacity, I/we have the necessary authority to do so.
3. I/We hereby consent to British-American Asset Managers Limited verifying the information provided with others.
4. I/We have read the prospectus for each fund selected in Section 10 and agree to the terms of each.
5. I/We confirm that my/our application to subscribe to units in the particular British-American Unit Trust Fund through this application is made on the basis and terms of the conditions contained in the prospectus.
6. I/We agree to accept the number of units bought/sold by virtue of this application and warrant that we have full power and authority to enter into and conclude this transaction.
7. I/We confirm that the units are not being acquired either directly or indirectly by or on behalf of any person restricted by law of any relevant jurisdiction from acquiring the units.
8. I/We authorize British-American Asset Managers Limited to act upon instructions by fax without liability in respect of any transfer, payment or other act done in accordance with such instructions and notwithstanding that it shall be shown the same was not signed or sent by me/us.
9. I/We shall review all statements upon receipt at the mailing address, and will notify you immediately there is a discrepancy.
10. I consent to the disclosure of this information for compliance purposes to the companies within the British-American Group or its agents and the government.
11. **I/We have read and fully understand the funds' objectives, risk levels, income distribution and all charges as set out in the funds fact sheets and the prospectus.**

Signature of Applicant

Signature of Co-Applicant

Name

Name

Date

Date

Name of Financial Advisor : _____
Code : _____
Name of Brokerage : _____
Telephone : _____
E-mail : _____

DDMMYYYY

Signature of Financial Advisor

Date

18 Documents Required For Official Use Only

- | | |
|---|--------------------------|
| 1. Official Kenyan identification document or, if not available, passport. | <input type="checkbox"/> |
| 2. Personal Identification Number (PIN) Certificate(s) | <input type="checkbox"/> |
| 3. Utility Bill (not more than three months old): or
- Lease/rental agreement; or
- Electricity account; or
- Water bill | <input type="checkbox"/> |
| 4. Proof of Banking Details
- Original cancelled cheque; or
- Bank Statement (not more than three months): or
- Letter confirming banking details (certified). | <input type="checkbox"/> |
| 5. Investment Fund's cheque or deposit slip attached and in the correct fund. | <input type="checkbox"/> |
| 6. Copy of Birth Certificate for minor. | <input type="checkbox"/> |

Signed By: _____

Financial Planning Manager

Agency Administrator

Compliance Manager



Contact Details

British-American Asset Managers Ltd.,

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NAIROBI, KENYA

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British-American Asset Managers Limited is Licensed by the Capital Markets Authority